

Mints

## aw Office of Michael A. Sanzo, LLC

Practice Limited to Patent Law

January 4, 2007

Commissioner of Patents
U.S. Patent and Trademark Office
Customer Service Window, MS Amendment
Randolph Building
401 Dulany Street
Alexandria. VA 22314

Re: Information Disclosure Statement

Appl. No.: 10/593,119

Filed: September 18, 2006

Title: Novel Alcohol Dehydrogenases
Inventor(s): Schulze, et al.

Inventor(s): Schulze, et a Atty. Dkt.: 7601/88256

Dear Sir:

The following documents are being forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- Information Disclosure Statement;
- PTO/SB/08a (list of references);
- 3. References B1 and C1-C2; and
- 4. Return Postcard

Applicants do not believe that any fee is due for the filing of the above documents. However, the Director is hereby authorized to charge any fee deficiency for this filing to our Denosit Account No. 50-4056 under Order No. 7601/88256

It is respectfully requested that the enclosed postcard be stamped with the date the enclosed documents are received by the PTO and that it be returned as soon as possible.

Very truly yours,

LAW OFFICE OF MICHAEL A. SANZO, LLC

Michael A. Sanzo
Michael A. Sanzo

Reg. No. 36,912 Attorney for Applicant



In re patent application of:

Schulze, et al.

Appl. No: 10/593,119

Filed: September 18, 2006

For: Novel Alcohol Dehydrogenases

Art Unit: 1656

Examiner: To be assigned

Atty. Dkt.: 7601/88256

Conf. No: 5922

## Information Disclosure Statement

Commissioner of Patents U.S. Patent and Trademark Office Customer Service Window, MS Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

Submitted herewith is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56. Some of these references were cited in the Search Report for PCT Application No. PCT/EP2005/002557, a copy of which is enclosed herewith. In order to facilitate review by the Examiner, US patents or published US patent applications were substituted for a counterpart foreign applications cited in the Search Report. In particular, US 2006/026561-A1 was cited in place of WO 03091423 and US 2003/134402-A1 and 6,884,607-B2 were cited in place of EP 1213354. Copies of the listed documents, with the exception of United States patent references, are also enclosed.

Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application. This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Consideration of the cited documents and making the same of record in the prosecution of the above-captioned application are respectfully requested.

Applicants do not believe any fees are due for the submission of this Information Disclosure Statement other than those which have been provided. However, the Director is hereby authorized to charge any fee deficiency to our Deposit Account No. 50-4056 under Order No. 7601/88256.

Respectfully submitted,

LAW OFFICE OF MICHAEL A. SANZO, LLC

Michael A. Sanzo

Michael A. Sanzo Reg. No. 36,912 Attorney for Applicants

Date: January 4, 2007 15400 Calhoun Drive, Suite 125 Rockville, Md. 20855 (240)864-0915

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.S./



Signature

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)
Sheet i of 2

 Application Number
 10/593,119

 Filing Date
 September 18, 2006

 First Named Inventor
 Schulze

 Art Unit
 1656

 Examiner Name
 To be assigned

 Attorney Docket
 7601/88256

Examine r Initials*	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages
	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear
	Αl	US-2006/026561-A1	11-02-2006	Hummel, et al.	
	A2	US-2003/134402-A1	07-17-2003	Asako, et al.	
	A3	US-6,884,607-B2	04-26-2005	Asako, et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>		Applicant of Cited Document		
	ВІ	EP 1382683-A2	01-21-2003	Sumitomo Chemical Co., Ltd.		╀
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<sup>\*</sup>EXAMINE: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw lime through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional) ² See Kind Codes of USPTO Planta Documents at <a href="https://www.upgto.gov/or-NPEP-90104">https://www.upgto.gov/or-NPEP-90104</a>. \*Faster Office that issued the document, by the volenter tool (with OVS Standard ST3). \*For Japanese patent documents, the indication of the year of the right of the Disport moves the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST3. 16 if possible. \*Applicant is to place a check mark her if English language Translation is standed.

Considered

PTO/SB/08			·	Application Number	10/593,119		
Substitute fe	or Form 1449B/PTC	•		Filing Date	September 18, 2006		
INFORMATION DISCLOSURE				First Named Inventor	Schulze		
STATE	STATEMENT BY APPLICANT			Art Unit	1656		
(Use as man	ny sheets as necessa	(עני		Examiner Name	To be assigned		
Sheet	2	of	2	Attorney Docket	7601/88256		

NON PATENT LITERATURE DOCUMENTS					
Exami ner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, sorial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	Cı	WANG, ct al., "Cloning sequence analysis and expression in Escherichia Coli of the gene encoding phenylacetaldehydereductase from styrene-assimilating Corynebacterium sp. strain ST-10," <i>Appl. Microbiol. Biotechnol.</i> 52:386-592 (1999)			
	C2	HUMMEL, W, "New alcohol dehydrogenases for the synthesis of chiral compounds," Adv. Biochem. Eng. Biotechnol. 35:145-184 (1997)			
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Exami	ner	/Tekchand Saidha/ (09/24/2009) Dutc			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with need communication to applicant.

\*Applicant\* unique citation designation number options.] \*Applicant is to place a check mark here if English language Translation is attached.

Signature